



# Genetic and Environmental Interactions Modify the Risk of Diabetes-Related Autoimmunity by 6 Years of Age: The TEDDY Study

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Jeffrey P. Krischer,<sup>1</sup> Kristian F. Lynch,<sup>1</sup> Åke Lernmark,<sup>2</sup> William A. Hagopian,<sup>3</sup> Marian J. Rewers,<sup>4</sup> Jin-Xiong She,<sup>5</sup> Jorma Toppari,<sup>6,7</sup> Anette-G. Ziegler,<sup>8,9,10</sup> Beena Akolkar,<sup>11</sup> and the TEDDY Study Group\*

## OBJECTIVE

We tested the associations between genetic background and selected environmental exposures with respect to islet autoantibodies and type 1 diabetes.

## RESEARCH DESIGN AND METHODS

Infants with HLA-DR high-risk genotypes were prospectively followed for diabetes-related autoantibodies. SNPs came from the Illumina ImmunoChip and environmental exposure data were by parental report. Children were followed to age 6 years.

## RESULTS

Insulin autoantibodies occurred earlier than GAD antibody (GADA) and then declined, while GADA incidence rose and remained constant (significant in HLA-DR4 but not in the DR3/3 children). The presence of SNPs rs2476601 (*PTPN22*) and rs2292239 (*ERBB3*) demonstrated increased risk of both autoantibodies to insulin (IAA) only and GADA only. SNP rs689 (*INS*) was protective of IAA only, but not of GADA only. The rs3757247 (*BACH2*) SNP demonstrated increased risk of GADA only. Male sex, father or sibling as the diabetic proband, introduction of probiotics under 28 days of age, and weight at age 12 months were associated with IAA only, but only father as the diabetic proband and weight at age 12 months were associated with GADA only. Mother as the diabetic proband was not a significant risk factor.

## CONCLUSIONS

These results show clear differences in the initiation of autoimmunity according to genetic factors and environmental exposures that give rise to IAA or GADA as the first appearing indication of autoimmunity.

We previously published that diabetes-related persistent confirmed islet autoantibodies first appear singly, with autoantibodies to insulin (IAA) appearing at an earlier age than GAD autoantibodies (GADA) (1). The order of appearance was shown to be related to HLA-DR-DQ genotypes. The observed difference in the incidence of GADA and IAA as first-appearing autoantibodies was quite dramatic: it was consistent with the generally held view that IAA appears first (2–4). Yet the appearance of IAA first would identify only a fraction of the very young who developed diabetes-related autoimmunity, as the incidence dropped precipitously through the first 6 years of life, despite the observation that autoantibodies may be detected as early as 3 months

<sup>1</sup>Health Informatics Institute, Morsani College of Medicine, University of South Florida, Tampa, FL

<sup>2</sup>Department of Clinical Sciences, Lund University Clinical Research Centre, Skåne University Hospital, Malmö, Sweden

<sup>3</sup>Pacific Northwest Diabetes Research Institute, Seattle, WA

<sup>4</sup>Barbara Davis Center for Childhood Diabetes, University of Colorado, Aurora, CO

<sup>5</sup>Center for Biotechnology and Genomic Medicine, Medical College of Georgia, Augusta University, Augusta, GA

<sup>6</sup>Department of Pediatrics, Turku University Hospital, Turku, Finland

<sup>7</sup>Department of Physiology, University of Turku, Turku, Finland

<sup>8</sup>Institute of Diabetes Research, Helmholtz Zentrum München, Neuherberg, Germany

<sup>9</sup>Klinikum rechts der Isar, Technische Universität München, Munich, Germany

<sup>10</sup>Forschergruppe Diabetes e.V., Neuherberg, Germany

<sup>11</sup>National Institute of Diabetes and Digestive and Kidney Diseases, Bethesda, MD

Corresponding author: Jeffrey P. Krischer, [jeffrey.krischer@epi.usf.edu](mailto:jeffrey.krischer@epi.usf.edu).

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\*A complete list of the TEDDY Study Group can be found in the Supplementary Data online.

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of age. In addition, the appearance of a second or third autoantibody after GADA as the first was slower than after the appearance of IAA as the first. These distinct phenotypes may point to different genetic risk factors and prenatal or perinatal exposures and different etiological pathways for islet autoimmunity (IA) and progression to type 1 diabetes compared with the appearance of autoantibodies occurring later in life. This paper explores those associations in the TEDDY (The Environmental Determinants of Diabetes in the Young) population, followed up to 6 years of age. The factors considered are taken from the body of previously published results from the entire TEDDY study cohort (5–7). We test the hypothesis that the genetic and environmental etiology differs between either IAA or GADA appearing as the first autoantibody (IAA only or GADA only).

## RESEARCH DESIGN AND METHODS

### Participants

TEDDY is a prospective cohort study funded by the National Institutes of Health with the primary goal to identify environmental causes of type 1 diabetes. It includes six clinical research centers: three in the U.S. (Colorado, Georgia/Florida, and Washington) and three in Europe (Finland, Germany, and Sweden). Detailed study design and methods have previously been published (8,9). Written informed consents were obtained for all study participants from a parent or primary caretaker, separately, for genetic screening and participation in the prospective follow-up beginning at birth. The high-risk genotypes for participants screened from the general population (GP) were as follows: DR3-DQ2.5/4-DQ8, DR4/4, DR4/8, and DR3/3. An additional six genotypes were included for first-degree relatives (FDRs) to a subject with type 1 diabetes: DR4/4, DR4/1, DR4/13, DR4/4, DR4/9, and DR3/9. The study was approved by local institutional review or ethics boards at each site (University of Washington, Seattle; University of Colorado; Medical College of Georgia, Augusta; University of South Florida, Tampa; University of Turku, Finland; Technische Universität, Munich, Germany; Lund University, Malmö, Sweden) and is monitored by an External Evaluation Committee formed by the National Institutes of Health.

### Non-HLA Genotyping

As previously described (1), when the child was 9–12 months of age ( $n = 7,463$ ), the

HLA-DR-DQ genotypes were confirmed by reverse blot hybridization at the central HLA Reference Laboratory at Roche Molecular Systems, Oakland, CA (10), along with the *INS*-23 Hph1 (rs689), *CTLA4* T17A (rs231775), and *PTPN22* R620 W (rs2476601) single nucleotide polymorphism (SNP) primer pairs. Quality control included the removal of those with a low call rate (>5% SNPs missing), those with a Hardy-Weinberg equilibrium  $P$  value  $<10^{-6}$ , those who were monomorphic, or those with an insertion-deletion. Further SNP analysis was performed by the Center for Public Health Genomics at University of Virginia using the Illumina ImmunoChip, which is a custom array for genotyping of SNPs selected from regions of the human genome firmly associated with autoimmune diseases (11). The final selection of SNPs, including 186,000 SNPs in 186 regions for 12 autoimmune diseases, was decided by the ImmunoChip Consortium. As part of the development of the chip quality control, 634 biallelic SNPs were assayed in duplicate at those SNPs, resulting in a genotype discordance rate of 0.0012% (12). TEDDY also examined whether any non-HLA SNPs previously shown to be associated with type 1 diabetes in a genome-wide association study (GWAS) and meta-analysis conferred risk for IA (6). Six additional SNPs were identified and have been included in this report: rs2816316 in *RGS1*, rs10517086 in a region on chromosome 4p15.2 without any known genes, rs2292239 in *ERBB3*, rs3184504 in *SH2B3*, rs4948088 in *COBL*, and rs12708716 in *CLEC16A*. One of the GWAS SNPs, rs11755527 (*BACH2*), not available on the ImmunoChip, was replaced with SNP rs3757247 (*BACH2*), which was in high linkage disequilibrium ( $R^2 = 0.94$ ). For each SNP above, the minor allele frequency was determined in the study population, and based on previous findings, a dominant model with risk of outcomes was chosen (6).

### Islet Autoantibodies

IAA, GADA, or antibodies to insulinoma antigen-2 (IA-2A) were measured in two laboratories by radiobinding assays. In the U.S., all sera were assayed at the Barbara Davis Center for Childhood Diabetes at the University of Colorado Denver; in Europe, all sera were assayed at the University of Bristol in the U.K. Both laboratories show high sensitivity and specificity

as well as concordance (13). All positive islet autoantibodies and 5% of negative samples were retested in the other reference laboratory and deemed confirmed if concordant. The laboratories were 93%, 98%, and 92% concordant for GADA, IA-2A, and IAA, respectively. Persistent IA was defined as confirmed positive IAA, GADA (specifically, autoantibodies to GAD65), or IA-2A on at least two consecutive visits.

Autoantibody findings attributed to maternal IgG transmission were excluded in defining the child's autoantibody status (1). While important to distinguish true child autoantibodies from maternal autoantibodies, this approach is limited in that the child's positivity during the first 18 months of life could be masked by maternal autoantibodies.

### Environmental Exposures and Phenotypes

Our previous publication showed that introduction to probiotics before the age 28 days was associated with lower risk of islet autoantibodies (5). Probiotic exposure was defined as timing of first introduction to probiotics from either dietary supplementation or infant formula that was monitored from birth using questionnaire and diaries. Weight after the first year of life was associated with increased risk of IA. Standardized weight  $z$  scores were derived using the Centers for Disease Control and Prevention standardized growth charts and SAS programs as previously described (7). Early infectious-related conditions are also of interest in this study and were recorded at the 3-month clinic visit. The common reported infectious conditions were rash, diarrhea, and respiratory tract-related illnesses. Upper-respiratory infection for the infant was defined as having a cold or runny nose in addition to having either an ear infection or eye discharge. A lower-respiratory infection was defined as having either pneumonia, difficulty breathing, or respiratory problems.

### Statistical Methods

The age-specific incidence of IA was described as a rate of per 1,000/ person-years. CIs (95% CI) were calculated using the  $\chi^2$  relationship to the Poisson distribution (14). Differences in the cumulative incidence of type 1 diabetes between groups were examined in Kaplan-Meier analyses. Multivariate proportional hazards (PH) models evaluated sex, family history, HLA, SNPs, country of residence,

**Table 1—Characteristics of HLA-eligible TEDDY children followed to age 6 years**

	IA negative (N = 7,914)	Any IA (N = 589)	IAA only (N = 252)	GADA only (N = 226)	IA2A only (N = 9)	Multiple IA (N = 102)	Type 1 DM (N = 172)
<b>Sex</b>							
Female	3,928 (49.6)	264 (44.8)	108 (42.9)	105 (46.5)	2 (22.2)	49 (48.0)	85 (49.4)
Male	3,986 (50.4)	325 (55.2)	144 (57.1)	121 (53.5)	7 (77.8)	53 (52.0)	87 (50.6)
<b>Family history</b>							
GP	7,112 (89.9)	465 (79.0)	196 (77.8)	183 (81.0)	7 (77.8)	79 (77.5)	118 (68.6)
FDR mother	307 (3.9)	31 (5.3)	10 (4.0)	12 (5.3)	1 (11.1)	8 (7.8)	12 (7.0)
FDR father	384 (4.9)	65 (11.0)	29 (11.5)	25 (11.1)	0 (0)	11 (10.8)	31 (18.0)
FDR sibling	111 (1.4)	28 (4.8)	17 (6.8)	6 (2.7)	1 (11.1)	4 (3.9)	11 (6.4)
<b>HLA</b>							
DR 3/3	1,706 (21.6)	76 (12.9)	17 (6.8)	54 (23.9)	1 (11.1)	4 (3.9)	18 (10.5)
DR3/X	22 (0.3)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	1 (0.6)
DR3/4	3,019 (38.2)	298 (50.6)	125 (49.6)	111 (49.1)	3 (33.3)	59 (57.8)	97 (56.4)
DR4/X	1,609 (20.3)	112 (19.0)	66 (26.2)	27 (12.0)	2 (22.2)	17 (16.7)	34 (19.8)
DR4/4	1,558 (19.7)	103 (17.5)	44 (17.5)	34 (15.0)	3 (33.3)	22 (21.6)	22 (12.8)
<b>Country</b>							
U.S.	3,428 (43.3)	203 (34.5)	73 (29.0)	98 (43.4)	2 (22.2)	30 (29.4)	49 (28.5)
Finland	1,658 (21.0)	144 (24.5)	78 (31.0)	40 (17.7)	2 (22.2)	24 (23.5)	54 (31.4)
Germany	525 (6.6)	48 (8.2)	20 (7.9)	10 (4.4)	1 (11.1)	17 (16.7)	25 (14.5)
Sweden	2,303 (29.1)	194 (32.9)	81 (32.1)	78 (34.5)	4 (44.4)	31 (30.4)	44 (25.6)
<b>Started probiotics</b>							
No or age >365 days	6,290 (78.5)	453 (76.9)	191 (75.8)	182 (80.5)	7 (77.8)	73 (71.6)	124 (72.1)
Yes, age 28–365 days	1,077 (13.6)	100 (17.0)	46 (18.3)	33 (14.6)	1 (1.1)	20 (19.6)	33 (19.2)
Yes, age <28 days	547 (6.9)	36 (6.1)	15 (6.0)	11 (4.9)	1 (11.1)	9 (8.8)	15 (8.7)
<b>Maternal IA</b>							
No	7,702 (97.3)	568 (96.4)	243 (96.4)	220 (97.4)	8 (88.9)	97 (95.1)	162 (94.2)
Yes	212 (2.7)	21 (3.6)	9 (3.6)	6 (2.7)	1 (11.1)	5 (4.9)	10 (5.8)
<b>Weight at 12 months, mean z score (SD)</b>							
	−0.13(1.02)	0.00(1.05)	−0.06 (1.05)	0.11(1.09)	0.03(1.08)	−0.11 (0.96)	−0.06 (1.05)
<b>Child conditions before first clinical visit (age 3 months)</b>							
Upper resp. (yes)	1,857 (23.5)	144 (24.5)	68 (27.0)	47 (20.8)	3 (33.3)	26 (26.0)	50 (29.2)
Lower resp. (yes)	970 (12.3)	66 (11.2)	27 (10.7)	31 (13.9)	2 (22.2)	6 (5.9)	16 (9.4)
Diarrhea (yes)	740 (9.4)	52 (8.9)	26 (10.4)	16 (7.1)	0 (0)	10 (9.8)	14 (8.1)
Rash (yes)	1,804 (22.8)	126 (21.4)	55 (21.8)	42 (18.7)	2 (22.2)	27 (26.5)	27 (15.7)
<b>rs689 (INS)</b>							
No	3,721 (53.7)	381 (65.6)	182 (73.4)	120 (53.6)	8 (88.9)	71 (71.0)	116 (72.1)
Yes	3,206 (46.3)	200 (34.4)	66 (26.6)	104 (46.4)	1 (11.1)	29 (29.0)	45 (28.0)
<b>rs231775 (CTLA4)</b>							
No	2,176 (31.4)	174 (30.0)	80 (32.3)	56 (25.0)	3 (33.3)	35 (35.0)	46 (28.6)
Yes	4,751 (68.6)	407 (70.1)	168 (67.7)	168 (75.0)	6 (66.7)	65 (65.0)	115 (71.4)
<b>rs2476601 (PTPN22)</b>							
No	5,550 (80.1)	401 (69.1)	168 (67.7)	159 (71.3)	5 (55.6)	69 (69.0)	103 (63.9)
Yes	1,376 (19.9)	179 (30.9)	80 (32.3)	64 (28.7)	4 (44.4)	31 (31.0)	58 (26.0)
<b>rs2816316 (RGS1)</b>							
No	4,271 (66.9)	360 (66.5)	160 (68.7)	140 (67.3)	5 (62.5)	55 (59.8)	107 (69.9)
Yes	2,110 (33.1)	181 (33.5)	73 (31.3)	68 (32.7)	3 (37.5)	37 (40.2)	46 (30.1)
<b>rs10517086</b>							
No	3,281 (51.4)	259 (47.9)	111 (47.6)	106 (51.0)	3 (37.5)	39 (42.4)	58 (37.9)
Yes	3,100 (48.6)	282 (52.1)	122 (52.4)	102 (49.0)	5 (62.5)	53 (57.6)	95 (62.1)
<b>rs4948088 (COBL)</b>							
No	5,801 (90.9)	508 (93.9)	221 (94.9)	192 (92.3)	8 (100)	87 (94.6)	144 (94.1)
Yes	580 (9.1)	33 (6.1)	12 (5.1)	16 (7.7)	0 (0)	5 (5.4)	9 (5.9)
<b>rs2292239 (ERBB3)</b>							
No	2,964 (46.5)	200 (37.0)	87 (37.3)	71 (34.1)	5 (62.5)	37 (40.2)	50 (32.7)
Yes	3,417 (53.6)	341 (63.0)	146 (62.7)	137 (65.9)	3 (37.5)	55 (59.8)	103 (67.3)
<b>rs3184504 (SH2B3)</b>							
No	2,022 (31.7)	129 (23.8)	62 (26.6)	41 (19.7)	1 (12.5)	25 (27.2)	40 (26.1)
Yes	4,359 (68.3)	412 (76.2)	171 (73.4)	167 (80.3)	7 (87.5)	67 (72.8)	113 (73.9)

Continued on p. 4

Table 1—Continued

	IA negative (N = 7,914)	Any IA (N = 589)	IAA only (N = 252)	GADA only (N = 226)	IA2A only (N = 9)	Multiple IA (N = 102)	Type 1 DM (N = 172)
rs12708716 ( <i>CLEC16A</i> )							
No	2,788 (43.8)	263 (48.6)	105 (45.1)	104 (50.0)	4 (50.0)	50 (54.4)	74 (48.4)
Yes	3,577 (56.2)	278 (51.4)	128 (54.9)	104 (50.0)	4 (50.0)	42 (45.7)	79 (51.6)

Data are N (%) unless otherwise indicated. DM, diabetes; Lower resp., lower-respiratory infection; Upper resp., upper-respiratory infection.

and environmental exposures for their independent association with risk of all-cause IA (first appearance of any autoantibody), cause-specific IA (IAA only, GADA only, or more than one of IAA, GADA, or IA-2A [termed “multiple IA”] at seroconversion), and type 1 diabetes. All predictors were categorical and considered time invariant except for weight at 12 months, which was continuous (z score) and treated as time varying up to 12 months and fixed thereafter. Children negative for IA were right censored after the date of the last negative sample or the 72-month blood draw—whichever came first. In the cause-specific PH models, children who seroconverted for a competing IA event that was not of interest were censored after the date of seroconversion. Children negative for type 1 diabetes were censored after the last visit or 72-month visit. The strength of the associations was described by hazard ratios (HRs) and 95% CIs. *P* values <0.05 were considered significant. For further testing of whether risk factors for GADA only and IAA only differed, a multiple logistic regression examined whether the factors significantly influenced the IAA only-to-GADA only ratio. All factors included in the PH models were also included in the logistic model in addition to age of seroconversion. Environmental exposures showing a significant independent association with outcome were tested for effect modification by other factors by inclusion of interaction terms in the models. All analyses were preplanned, and multivariate analysis was performed where possible. Therefore, no correction for multiple comparisons was necessary.

HLA-DR genotypes were generally used as abbreviations for the full HLA DR-DQ genotypes used in the study, and SNPs were described by presence of the minor allele. SAS 9.4 (SAS Institute, Cary, NC) was used for the statistical analyses and GraphPad PRISM 5.03 (GraphPad Software, San Diego, CA) for graphs.

## RESULTS

Included in this analysis were 8,503 of the 8,676 children enrolled in TEDDY (118 who did not have the TEDDY high-risk HLA genotypes and 55 with either no sample results or indeterminate autoantibody status were excluded), all of whom had been followed to age 6 years, had developed IA before age 6 years, or had been lost to the study. As of 30 April 2016, 589 children (6.9%) had persistent confirmed autoantibodies during 36,964 person-years of follow-up to 6 years of age (15.9/1,000 person-years). Of the 589, 42.8% (252) had IAA only as the first appearing IA, 38.4% (226) had GADA only, 13.8% (81) had GADA and IAA, 1.5% (9) had IA-2A only, and 3.5% (21) had other combinations at initial presentation (Table 1).

The incidence of islet autoantibodies rose sharply until 9 months of

age and declined slowly thereafter (Fig. 1). The median age of seroconversion was 27.0 months (interquartile range [IQR] 14.9–43.2).

By age six years, 172 children (2.0%) were diagnosed with type 1 diabetes. Median age of diagnosis was 38.5 months (IQR 24.0–56.1). Prior to diagnosis, 156 of 172 of the children had developed IA, with median age of seroconversion of 14.9 months (IQR 10.1–22.2) months. Of these 156, 49.4% (77) had IAA only as the first appearing IA, 19.2% (30) had GADA only, 23.1% (36) had GADA and IAA, 0.6% (1) had IA-2A only, and 7.7% (12) had other combinations. Also, 82.1% (128 of 156) of children with IA and type 1 diabetes had seroconverted before the median age of seroconversion (27 months).

Factors previously shown to be associated with IA (5–7) were reassessed in the

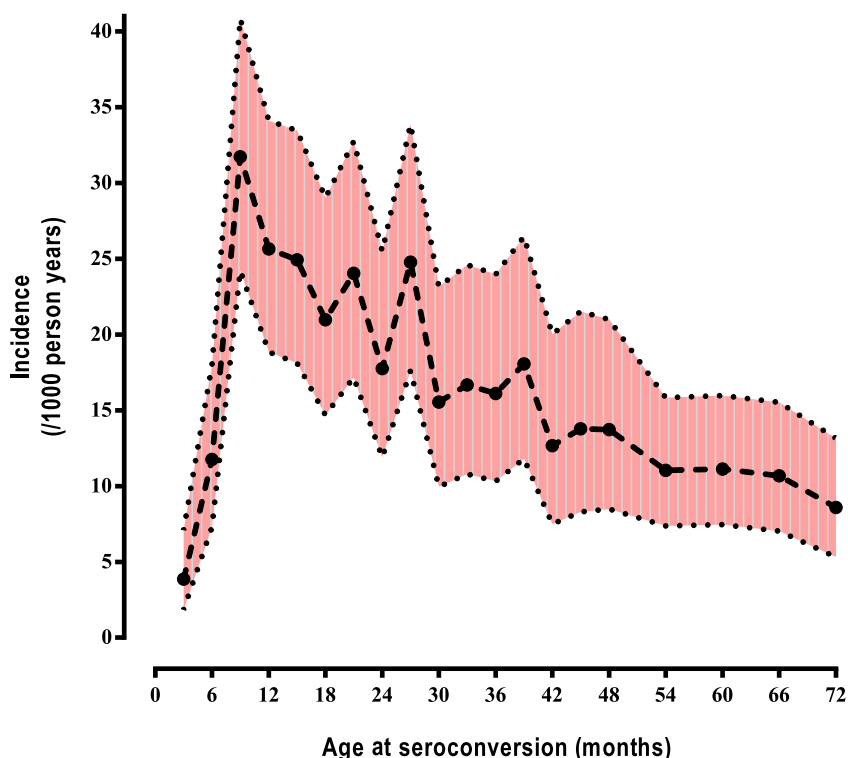


Figure 1—Incidence of antibodies among 0–6 year olds in TEDDY by age of seroconversion (incidence and 95% piecewise confidence bands). Autoantibodies appeared in 589 of 8,503 children.

**Table 2—HRs from a multivariate proportional hazards model of TEDDY, published risk factors for IA and type 1 diabetes by age 6 years, and child infectious-related conditions before first clinical visit**

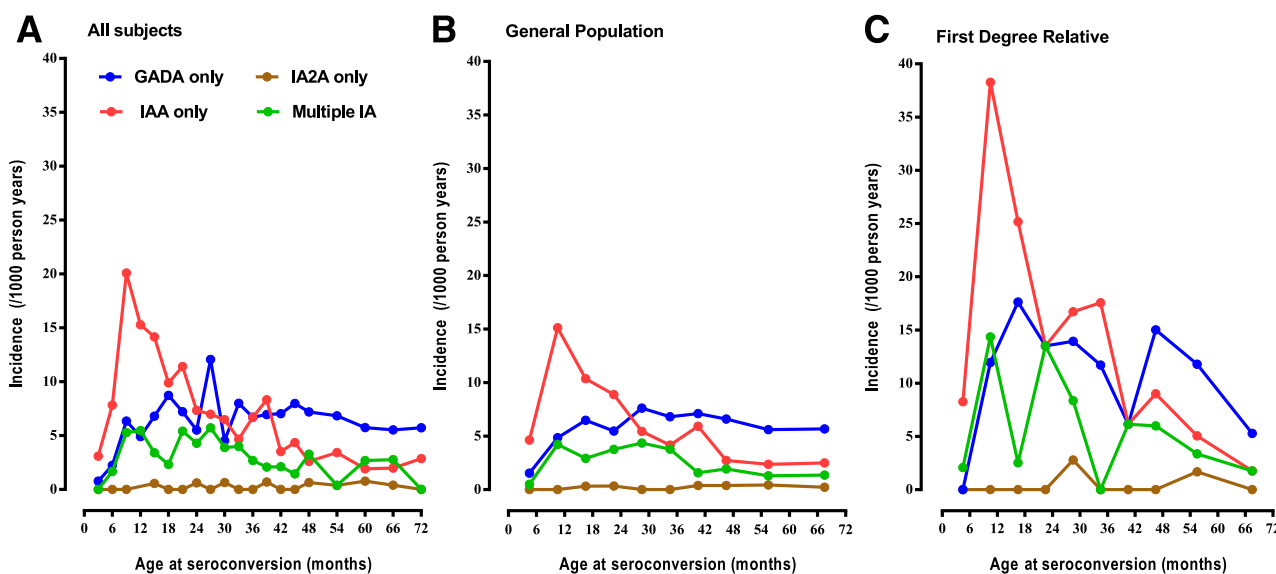
Factor	Comparison	Any IA		T1D	
		HR	P	HR	P
Sex	Male vs. female	<b>1.32</b>	<b>0.002</b>	1.07	0.69
Family history	FDR mother vs. GP	1.24	0.27	1.70	0.11
	FDR father vs. GP	<b>2.34</b>	<b>&lt;0.0001</b>	<b>3.71</b>	<b>&lt;0.001</b>
	FDR sibling vs. GP	<b>3.38</b>	<b>&lt;0.0001</b>	<b>5.32</b>	<b>&lt;0.0001</b>
HLA	DR3/4 vs. DR4/4,X	<b>1.44</b>	<b>0.0001</b>	<b>1.76</b>	<b>0.002</b>
	DR3/3,X vs. DR4/4,X	<b>0.66</b>	<b>0.003</b>	0.63	0.11
Country	Finland vs. U.S.	1.23	0.09	<b>1.78</b>	<b>0.01</b>
	Germany vs. U.S.	1.27	0.19	<b>1.97</b>	<b>0.02</b>
	Sweden vs. U.S.	1.08	0.48	0.99	0.96
Probiotics at age 28 days	Yes vs. no or ≥28 days	<b>0.66</b>	<b>0.03</b>	0.86	0.61
Weight at age 12 months	z score	<b>1.21</b>	<b>&lt;0.0001</b>	1.17	0.06
Child conditions before first clinical visit (age 3 months)	Upper resp. (yes vs. no)	1.13	0.24	<b>1.49</b>	<b>0.03</b>
	Lower resp. (yes vs. no)	0.93	0.62	0.81	0.47
	Diarrhea (yes vs. no)	1.14	0.40	1.10	0.73
	Rash (yes vs. no)	0.95	0.64	0.64	0.06
rs689 ( <i>INS</i> )	Yes vs. no	<b>0.67</b>	<b>&lt;0.001</b>	<b>0.55</b>	<b>0.0006</b>
rs231775 ( <i>CTLA4</i> )	Yes vs. no	1.07	0.51	1.05	0.78
rs2476601 ( <i>PTPN22</i> )	Yes vs. no	<b>1.73</b>	<b>&lt;0.0001</b>	<b>1.91</b>	<b>0.0002</b>
rs2816316 ( <i>RGS1</i> )	Yes vs. no	1.04	0.70	0.92	0.64
rs10517086	Yes vs. no	1.07	0.43	<b>1.53</b>	<b>0.01</b>
rs4948088 ( <i>COBL</i> )	Yes vs. no	<b>0.67</b>	<b>0.03</b>	0.75	0.41
rs2292239 ( <i>ERBB3</i> )	Yes vs. no	<b>1.45</b>	<b>&lt;0.0001</b>	<b>1.68</b>	<b>0.003</b>
rs3184504 ( <i>SH2B3</i> )	Yes vs. no	<b>1.40</b>	<b>0.001</b>	1.18	0.39
rs12708716 ( <i>CLEC16A</i> )	Yes vs. no	<b>0.82</b>	<b>0.02</b>	0.87	0.38

Data set in boldface indicate statistical significance. Lower resp., lower-respiratory infection; Upper resp., upper-respiratory infection.

population, limited to those under age 6 years and examined in relation to type 1 diabetes, in a multivariate analysis

that adjusted for country of birth (Table 2). Factors associated with a significantly higher risk of IA included male sex

(HR 1.32 [95% CI 1.11, 1.56],  $P = 0.002$ ), having a father or sibling with type 1 diabetes (HR 2.34 [95% CI 1.77, 3.09],



**Figure 2—**Incidence of IAA only, GADA only, and IA-2A only as first-appearing IA and multiple IA at seroconversion in all participants (A), the general population (B), and first-degree relative of a proband with type 1 diabetes (C). A: IAA only appeared in 252 of 8,503 children, GADA only in 226 of 8,503, and IA-2A only in 9 of 8,503 and multiple IA in 102 of 8,503. B: IAA only appeared in 196 of 7,577 children, GADA only in 183 of 7,577, and IA-2A only in 7 of 7,577 and multiple IA in 79 of 7,577. C: IAA only appeared in 56 of 926 children, GADA only in 43 of 926, and IA-2A only in 2 of 926 and multiple IA in 23 of 926.

$P < 0.0001$ , and HR 3.38 [95% CI 2.28, 5.01],  $P < 0.0001$ , respectively) but not a mother with type 1 diabetes (HR 1.24 [95% CI 0.84, 1.84],  $P = 0.27$ ) compared with the GP, HLA DR3/4 but not 3/3,X (HR 1.44 [95% CI 1.19, 1.73],  $P = 0.0001$ , and HR 0.66 [95% CI 0.50, 0.87],  $P = 0.003$ , respectively) compared with DR 4/4,X, and weight at 12 months (HR 1.21 [95% CI 1.11, 1.32],  $P < 0.0001$ ). Probiotic usage at age  $< 28$  days of age was associated with significantly lower IA risk (HR 0.66 [95% CI 0.46, 0.96],  $P = 0.03$ ). None of the categories of infectious conditions occurring before 3 months of age were significantly associated with IA. SNP associations with IA were

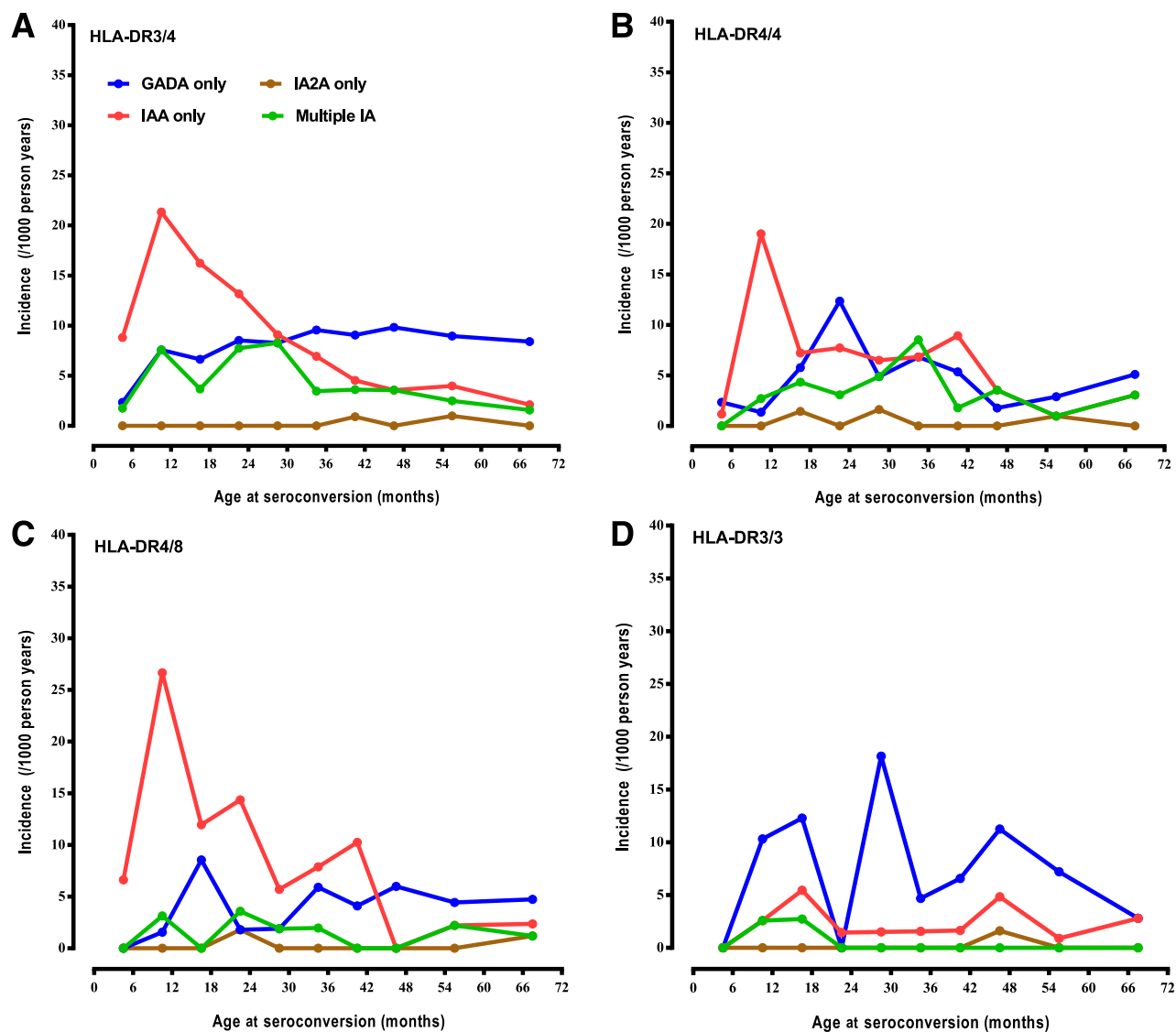
consistent with previous reports with the exception of the SNPs *CTLA4*, *RGS1*, and rs10517086 ( $P = 0.51$ , 0.70, and 0.43, respectively).

The significance of the associations with IA in the first 6 years of life did not always extend to type 1 diabetes over the same age period. Sex (HR 1.07 [95% CI 0.77, 1.47],  $P = 0.69$ ), HLA-DR3/3,X vs. DR4/4,X (HR 0.63 [95% CI 0.35, 1.12],  $P = 0.11$ ), early exposure to probiotics (HR 0.86 [95% CI 0.49, 1.53],  $P = 0.61$ ), and SNPs *SH2B3* (HR 1.18 [95% CI 0.82, 1.69],  $P = 0.39$ ), *COBL* (HR 0.75 [95% CI 0.38, 1.49],  $P = 0.41$ ), and *CLEC16A* (HR 0.87 [95% CI 0.63, 1.20],  $P = 0.38$ ) were not associated with type 1 diabetes.

Early upper-respiratory infections (HR 1.49 [95% CI 1.04, 2.14],  $P = 0.03$ ) and SNP rs10517086 (HR 1.53 [95% CI 1.10, 2.13],  $P = 0.01$ ) were associated with increased risk of type 1 diabetes, although no significant association with IA was found. To understand why the significance of the risk factors for IA and type 1 diabetes differed, we next examined how each of the factors related to the type of first-appearing IA.

#### IAA-Only Versus GADA-Only Autoimmunity

The incidence of IAA-only as first-appearing IA was greatest within the first year of life and declined over the following



**Figure 3**—Incidence of IAA only, GADA only, and IA-2A only as first-appearing IA and multiple IA at seroconversion by HLA genotype DR3/4 (A), DR4/4 (B), DR4/8 (C), and DR3/3 (D). A: IAA only appeared in 125 of 3,317 children, GADA only in 111 of 3,317, IA-2A only in 3 of 3,317, and multiple IA in 59 of 3,317. B: IAA only appeared in 44 of 1,661 children, GADA only in 34 of 1,661, IA-2A only in 3 of 1,661, and multiple IA in 22 of 1,661. C: IAA only appeared in 53 of 1,470 children, GADA only in 24 of 1,470, IA-2A only in 2 of 1,470, and multiple IA in 9 of 1,470. D: IAA only appeared in 17 of 1,782 children, GADA only in 54 of 1,782, IA-2A only in 1 of 1,782, and multiple IA in 4 of 1,782.

5 years. In contrast, the incidence of first-appearing GADA only rose until the second year of life and remained relatively constant through 6 years of age in both FDRs and children from the GP (Fig. 2). The incidence of simultaneous IAA and GADA as first-appearing IA was much less discernable and seemed to occur before 3 years of age. The delay in the incidence of GADA only, relative to IAA only, was significant in all HLA-DR4 ( $P < 0.001$ ) but not DR3/3 ( $P = 0.72$ ) children, as previously described (1) (Fig. 3).

The ratio of children developing IAA only compared with GADA only was strongly influenced by HLA-DR genotypes ( $P < 0.001$ ), *INS* ( $P < 0.0001$ ), and, to a lesser degree, *CTLA4* ( $P = 0.03$ ). HLA-DR4 was associated predominately with IAA only and HLA-DR3 with GADA only (Table 3) (Fig. 3). The SNPs *PTPN22* (HR 1.77 [95% CI 1.34, 2.33],  $P < 0.0001$ , and HR 1.63 [95% CI 1.20, 2.22],  $P = 0.002$ , respectively) and *ERBB3* (HR 1.42 [95%

CI 1.08, 1.85],  $P = 0.01$ , and HR 1.60 [95% CI 1.20, 2.15],  $P = 0.002$ ) were associated with increased risk of both IAA only and GADA only. Also, the *INS* was protective of IAA only (HR 0.47 [95% CI 0.35, 0.63],  $P < 0.0001$ ) but not of GADA only (HR 1.06 [95% CI 0.80, 1.40],  $P = 0.68$ ). The *BACH2* SNP was discovered to be significantly associated with increased risk of GADA only (HR 1.49 [95% CI 1.08, 2.06],  $P = 0.01$ ) but tended to lower the risk of IAA only (HR 0.78 [95% CI 0.60, 1.02],  $P = 0.07$ ) and, thus, significantly modified the IAA only-to-GADA only ratio ( $P = 0.002$ ). Male sex (HR 1.36 [95% CI 1.05, 1.77],  $P = 0.02$ ), having a father or sibling as the FDR with diabetes (HR 2.33 [95% CI 1.53, 3.55],  $P < 0.0001$ , and HR 5.32 [95% CI 3.20, 8.83],  $P < 0.0001$ , respectively), introduction of probiotics at age  $< 28$  days (HR 0.54 [95% CI 0.31, 0.95],  $P = 0.03$ ), and increased weight at 12 months of age (HR 1.16 [95% CI 1.02, 1.33],  $P = 0.02$ ) were all significantly associated with the incidence

of IAA only. However, only having a father as the first-degree relative with diabetes (HR 2.49 [95% CI 1.59, 3.89],  $P < 0.001$ ) and weight at 12 months of age (HR 1.29 [95% CI 1.13, 1.48],  $P = 0.0003$ ) were significantly associated with GADA only. Having a mother as the first-degree relative with diabetes was not a significant risk factor for either.

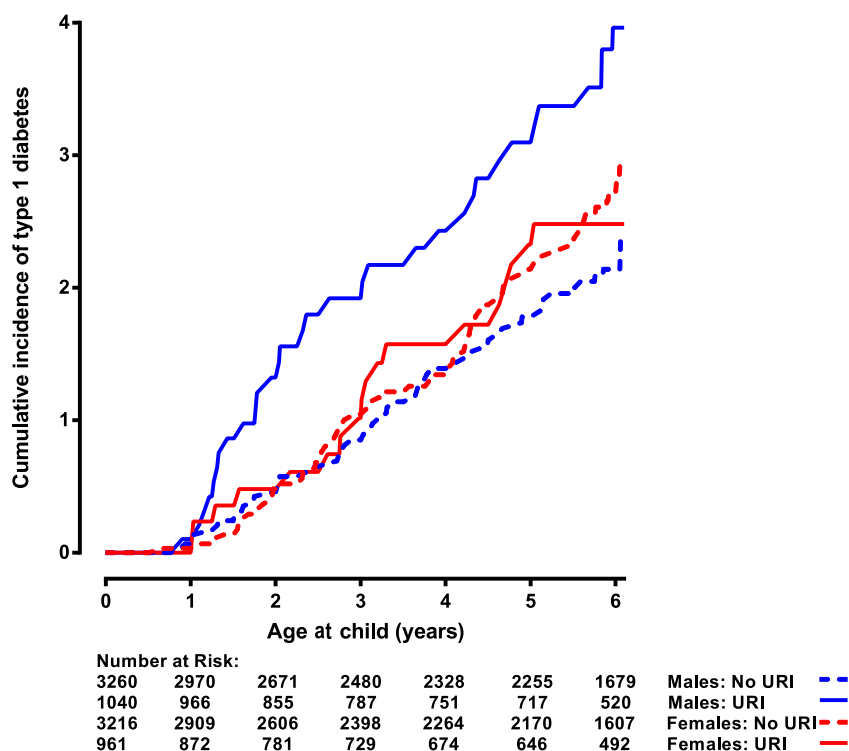
### Type 1 Diabetes

Finally, we looked for effects of interactions between genetic and nongenetic factors on risk of type 1 diabetes and similar interactions on IAA only and GADA only. The association between upper-respiratory infection by 3 months of age and the development of type 1 diabetes by 6 years of age (Table 2) was significantly modified by the child's sex (test of interaction  $P = 0.02$ ) (Fig. 4). Among males, an upper-respiratory infection was significantly associated with increased incidence of type 1 diabetes (HR 2.24

**Table 3—Cause-specific HRs from a multivariate proportional hazards model for first-appearing IAA only and GADA only and multiple IA at seroconversion by age 6 years**

Factor	Comparison	IAA only		GADA only		Multiple IA	
		HR	<i>P</i>	HR	<i>P</i>	HR	<i>P</i>
Sex	Male vs. female	<b>1.36</b>	<b>0.02</b>	1.25	0.11	1.15	0.51
Family history	FDR mother vs. GP	0.87	0.66	1.56	0.15	1.42	0.32
	FDR father vs. GP	<b>2.33</b>	<b>&lt;0.0001</b>	<b>2.49</b>	<b>&lt;0.0001</b>	<b>2.16</b>	<b>0.02</b>
	FDR sibling vs. GP	<b>5.32</b>	<b>&lt;0.0001</b>	1.60	0.33	<b>2.73</b>	<b>0.05</b>
HLA	DR3/4 vs. DR4/4,X	1.18*	0.23	<b>1.92*</b>	<b>0.0001</b>	1.52	0.06
	DR3/3,X vs. DR4/4,X	<b>0.27*</b>	<b>&lt;0.0001</b>	<b>1.60*</b>	<b>0.02</b>	<b>0.23</b>	<b>0.005</b>
Country	Finland vs. U.S.	<b>1.93*</b>	<b>0.0003</b>	0.73*	0.14	1.31	0.37
	Germany vs. U.S.	1.70*	0.05	0.53*	0.09	<b>2.59</b>	<b>0.008</b>
	Sweden vs. U.S.	1.32	0.12	0.91	0.57	1.03	0.91
Probiotics at age 28 days	Yes vs. no or $\geq 28$ days	<b>0.54</b>	<b>0.03</b>	0.64	0.21	0.89	0.75
Weight at age 12 months	z score	<b>1.16</b>	<b>0.02</b>	<b>1.29</b>	<b>0.0003</b>	1.13	0.26
Child conditions before first clinical visit (age 3 months)	Upper resp. (yes vs. no)	1.23	0.18	0.94	0.70	1.25	0.36
	Lower resp. (yes vs. no)	0.97	0.87	1.10	0.64	0.44	0.07
	Diarrhea (yes vs. no)	1.36	0.16	0.94	0.82	1.20	0.60
	Rash (yes vs. no)	1.01	0.94	0.83	0.31	1.10	0.71
rs689 ( <i>INS</i> )	Yes vs. no	<b>0.47*</b>	<b>&lt;0.0001</b>	1.06*	0.68	<b>0.56</b>	<b>0.01</b>
rs231775 ( <i>CTLA4</i> )	Yes vs. no	0.88*	0.38	1.33*	0.07	1.00	0.99
rs2476601 ( <i>PTPN22</i> )	Yes vs. no	<b>1.77</b>	<b>&lt;0.0001</b>	<b>1.63</b>	<b>0.002</b>	<b>1.70</b>	<b>0.02</b>
rs2816316 ( <i>RGS1</i> )	Yes vs. no	0.97	0.83	0.99	0.92	1.40	0.12
rs10517086	Yes vs. no	1.05	0.70	0.98	0.88	1.31	0.21
rs4948088 ( <i>COBL</i> )	Yes vs. no	0.56	0.05	0.86	0.56	0.61	0.29
rs2292239 ( <i>ERBB3</i> )	Yes vs. no	<b>1.42</b>	<b>0.01</b>	<b>1.60</b>	<b>0.002</b>	1.30	0.23
rs3184504 ( <i>SH2B3</i> )	Yes vs. no	1.25	0.15	<b>1.76</b>	<b>0.001</b>	1.12	0.64
rs12708716 ( <i>CLEC16A</i> )	Yes vs. no	0.96	0.78	0.76	0.06	0.67	0.06
rs3757247 ( <i>BACH2</i> )	Yes vs. no	0.78*	0.07	<b>1.49*</b>	<b>0.01</b>	1.10	0.68

Data set in boldface indicate statistical significance. Lower resp., lower-respiratory infection; Upper resp., upper-respiratory infection. \*IAA only-to-GADA only ratio significantly changed ( $P < 0.05$ ) by factor as determined in multivariate logistic regression.



**Figure 4**—Cumulative incidence of type 1 diabetes among 0–6 year olds in TEDDY by males reporting upper-respiratory infection (URI) before 3 months of age, males not reporting upper-respiratory infection before 3 months of age, females reporting upper-respiratory infection before 3 months of age, and females not reporting upper-respiratory infection before 3 months of age. (A high-quality color representation of this figure is available in the online issue.)

[95% CI 1.38, 3.63],  $P = 0.001$ ); however, among females no association was observed (HR 0.88 [95% CI 0.49, 1.57],  $P = 0.67$ ). No significant interaction was observed with IAA only, GADA only, or multiple IA. Nevertheless, it was noted that male sex (HR 1.65 [95% CI 1.18, 2.33],  $P = 0.004$ ) and upper-respiratory infections (HR 1.45 [95% CI 1.01, 2.09],  $P = 0.05$ ) were both significantly associated with IAA only among younger children (<3 years), an age when the effect of interaction between these two factors on risk of type 1 diabetes was primarily seen (Fig. 4). Weight at 12 months interacted with *INS* for risk of type 1 diabetes ( $P = 0.03$ ), as it increased risk of type 1 diabetes (HR 1.48 [95% CI 1.10, 2.00],  $P = 0.01$ ) among children with *INS*, but no association was seen among children who did not have *INS* (HR 1.06 [95% CI 0.87, 1.28],  $P = 0.59$ ). The same interaction was also associated with IAA only among the children younger than 2 years old ( $P < 0.001$ ). Similarly, weight at 12 months was associated with higher IAA only (HR 1.72 [95% CI 1.23, 2.40],  $P = 0.001$ ) among children with *INS*, but no association was observed among children who did not

have *INS* (HR 0.90 [95% CI 0.74, 1.09],  $P = 0.26$ ).

## CONCLUSIONS

The strong association between HLA and seroconversion to a specific islet autoantibody found in TEDDY children up to 6 years of age argues that the association between HLA and type 1 diabetes at the time of clinical diagnosis is secondary to a primary association between HLA and an autoimmune response to either IAA only or GADA only.

The differences seen between HLA-DR genotypes and between the effects of *INS* and *CTLA4* gene polymorphisms suggest specific interactions or immunogenic pathways, e.g., the total absence of DR3/3 and a higher proportion of DR3/4 among those who seroconverted at 3 or 6 months compared with those who seroconverted at 9 months of age or after. Although genes in the HLA region remain the most important genetic risk factors for type 1 diabetes, other non-HLA genetic factors also contribute to seroconversion to IAA, GADA, or both.

TEDDY has carefully collected possible exposures that may be important to IA

including prenatal and perinatal events, the introduction of first foods, breastfeeding practices, and early childhood illness; changes in the gut microbiome; and gene expression in blood cells, as well as vaccinations and immunizations. The advantage of delineating different subgroups from the larger TEDDY cohort is that the subgroups may be more homogeneous with respect to gene-environment interactions, which may facilitate the discovery of triggers from a relatively age-restricted set of possibilities. The present TEDDY data suggest for the first time that triggers of IAA only may differ from those of GADA only as a first-appearing autoantibody. It cannot be excluded that the mechanisms by which a trigger is inducing either one of the two islet autoantibodies is associated with HLA-DR or DQ haplotypes or both. The findings are consistent in both FDRs and the general population. Further studies are needed to analyze the second-, third-, and fourth-appearing islet autoantibody and whether it is associated with progression to clinical onset of type 1 diabetes.

The contribution that the SNPs make to the appearance of a first-appearing islet autoantibody, the appearance of a second autoantibody, and the progression to clinical onset of type 1 diabetes differs depending on the end point. The type 1 diabetes genome consortium made an effort to identify non-HLA genetic polymorphisms that would affect the risk for type 1 diabetes. No subjects were studied prior to the clinical diagnosis of type 1 diabetes. The current study makes it possible to investigate this relationship separately. The relative incidence of IAA alone (peaking early and declining) compared with GAD alone (peaking later and plateauing) accounts for some of the associations described. Age at autoantibody development is an important predictor of type 1 diabetes, and the limited follow-up focuses the results of this article on events occurring early in life. Hence, the associations or lack thereof may be different than reported by others owing to the young age and limited follow-up.

We would hypothesize that the relationship seen between autoantibody appearance (and, thus, HLA and autoantibody incidence) would also be reflected in the occurrence and timing of cytokines



and other markers of inflammation (15). The association of autoantibodies and cytokines reflecting the innate or adaptive immunity would be apparent in an analysis of the difference in the timing of the first appearance of autoantibodies and would partially explain the lack of association seen in individuals at T1D diagnosis (16), reflecting the power of a longitudinal analysis over a cross-sectional analysis. However, the role of the  $\beta$ -cell, autoantibody secretion, and autoantigen presentation leading to enhanced T-cell response, all of which contribute to cytokine secretion, is complex and still to be elucidated (rev. in 17).

### Conclusion

These results expand our previous findings (1) that showed clear differences in the initiation of autoimmunity by HLA to include non-HLA genetic factors and environmental exposures occurring early in life. While the associations could be modified by extended follow-up, the strength of these results is that they provide evidence of immunological changes relatively soon after environmental exposures, suggesting a possible causative effect. In particular, they show that the environmental effects may vary according to genotype and that there are significant gene-environment interactions that modify IA risk. A caveat to these findings is that TEDDY includes only high-risk genotypes. The lack of finding a significant association with IA or type 1 diabetes risk with the mother of a TEDDY child as the first-degree relative with diabetes and the associated finding of increased type 1 diabetes (but not IA) risk associated with upper-respiratory infections occurring before 3 months of age requires further analysis. Preliminary analysis (Fig. 4) suggests an interaction between sex and upper-respiratory infections occurring before age 3 months. The systems biology approach of TEDDY is to combine these results with observations of the changes in the microbiome (for dietary and dietary supplement factors), gene expression, proteomics, and metabolomics to

establish a plausible pathway by which autoimmunity arises.

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